Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT			ATTY DOCKET NO.		SERIAL NO.			
			240715US0DIV		New Application			
			APPLICANT					
			Nozomu TAMOTO, et al.					
			FILING DATE		GROUP			
			Herewith					
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME CLASS SUB FILING DATE CLASS IF APPROPRIA				
	AA	3,736,134	5/29/73	D.W. GOSSELINK, et al.				
	AĐ	4,820,620	4/11/89	D.J. CAROLLA				
	AC	6,030,733	2/29/00	KAMI, et al.				
	AD	6,136,483	10/24/00	SUZUKI, et al.				
	AE	6,026,262	2/15/00	KINOSHITA, et al.				
	AF	6,093,784	7/25/00	TAMURA, et al.				
	AG	5,747,204	5/5/98	ANZAI, et al.				
	AH	6,027,846	2/22/00	SHIMADA, et al.				
	Al	5,840,454	11/24/98	NAGAI, et al.				
	AJ	5,723,243	3/3/98	SASAKI, et al.				
	AK	5,942,363	8/24/99	TANAKA, et al.				
	AL	5,789,128	8/4/98	ADACHI, et al.				
	AM	5,846,680	12/8/98	ADACHI, et al.				
	AN	6,030,736	2/29/00	IKEGAMI, et al.				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
	AQ	4-295855	10/20/92	JAPAN w/English Abstract				
	AP	8-292585	11/5/96	JAPAN				
	AQ	9-281718	10/31/97	JAPAN w/English Abstract				
	AR	2000-66434	3/3/00	JAPAN w/English Abstract				
	AS	43-16198	7/8/68	JAPAN w/Partial English Translation				
	AT	44-834	1/16/69	JAPAN w/Partial English Translation			]	
	AU	49-10258	3/9/74	JAPAN w/Partial English Translation	nslation			
	AV	53-13344	11/31/78	JAPAN w/English Abstract				
	AW	04240656A	8/92	JAPAN				
	AX	2000352832A	12/00	JAPAN				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
Derwent Abstracts, AN 1992-336267, JP 04-240656, August 27, 1992.								
	AY			-				
	AZ			Additional References sheet(s) attached				
Examiner					Date Co	onsidered		
*Examiner: I	nitial if	reference is considered of considered. Include c	, whether or no opy of this for	ot citation is in conformance with MPEP 6 m with next communication to applicant.	09; Draw	line throug	h citation	if not in

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT			ATTY DOCKET NO.		SERIAL NO.			
			240715US0DIV		New Application			
			APPLICANT					
			Nozomu TAMOTO, et al.					
			FILING DATE		GROUP			
			Herewith					
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	SUB CLASS	FILING DATE IF APPROPRIATE		
	AAA	6,045,959	4/4/00	KATAYAMA, et al.	ļ			
	AAB	6,066,428	5/23/00	KATAYAMA, et al.	ļ			
	AAC	5,976,746	11/2/99	TAMAKA, et al.				
	AAD	6,468,706	10/22/02	MATSUDA, et al.				
	AAE	6,406,826	6/18/02	SUZUKI, et al.				
	AAF	6,322,940	11/27/01	NISHIGAKI, et al.				
	AAG	5,028,502	7/2/91	YUH, et al.				
	AAH	5,100,453	3/31/92	RICHARDS				
	AAI	6,249,304	6/19/01	SAWAYAMA, et al.				
	AAJ	5,834,145	11/10/98	YOSHINAGA, et al.				
	AAK	6,366,751	4/2/02	SHAKUTO, et al.				
	AAL	5,999,773	12/7/99	YASUTOMI, et al.				
	AAM	5,928,828	7/27/99	SUZUKI				
	AAN	5,910,561	6/8/99	ADACHI, et al.				
			FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY	TF YES		TRANSLATION NO NO	
	AAO	55-157747	12/8/80	JAPAN w/English Abstract				
	AAP	57-30846	2/19/82	JAPAN w/English Abstract				
	AAQ	2-4275	1/9/90	JAPAN w/English Abstract				
	AAR	4-281461	10/7/92	JAPAN w/English Abstract				
	AAS	0 655 654	5/31/95	EUROPE				
	AAT							
	AAU							
	AAV							
		OTHER RI	EFERENCES	(Including Author, Title, Date, Pertine	nt Pages, e	etc.)		
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	AZ				Additional References sheet(s) attached			
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Nozomu TAMOTO, et al.   FILING DATE   GROUP	(Modified)		PATENT AND TRADEMARK OFFICE		240715US0DIV		New Application		
FILING DATE				APPLICANT					
Herewith	LIST OF REFERENCES CITED BY APPLICANT			Nozomu TAMOTO, et al.					
U.S. PATENT DOCUMENTS					FILING DATE		GROUP		
EXAMINER   NUMBER   DATE   NAME   CLASS   FILING DATE   FAPROPRIATE				Herewith					
NAME	U.S. PATENT DOCUMENTS								
AAAB   6,191,249   2/20/01   TANAKA, et al.				DATE					
AAAC   6,432,596   8/13/02   IKUNO, et al.		AAAA	6,069,224	5/30/00	ADACHI, et al.				
AAAD   6,319,878   11/20/01   INA, et al.		AAAB	6,191,249	2/20/01	TANAKA, et al.				
AAAE   5,702,855   12//30/97   IKEGAMI, et al.		AAAC	6,432,596	8/13/02	IKUNO, et al.				
AAAF   5,576,405   11/26/96   IKEGAMI, et al.		AAAD	6,319,878	11/20/01	INA, et al.				
AAAG   5,339,138   8/16/94   MISHIMA, et al.		AAAE	5,702,855	12//30/97	IKEGAMI, et al.				
AAAH 5,496,671 3/5/96 TAMURA, et al.  AAAI 5,411,8276 5/2/95 TAMURA, et al.  AAAJ 4,942,104 7/17/90 KITAJIMA, et al.  AAAA 4,740,441 4/26/88 OTOMURA  AAAA 6,167,494 2/13/01 KAWAMURA, et al.  AAAM 6,167,492 2/13/01 RI, et al.  AAAN 6,210,848 4/3/01 NAGAI, et al.  POREIGN PATENT DOCUMENTS     DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO    AAAO		AAAF	5,578,405	11/26/96	IKEGAMI, et al.				
AAAI 5,411,8276 5/2/95 TAMURA, et al.  AAAJ 4,942,104 7/17/90 KITAJIMA, et al.  AAAK 4,740,441 4/26/88 OTOMURA  AAAK 6,187,494 2/13/01 KAWAMURA, et al.  AAAM 6,187,492 2/13/01 RI, et al.  AAAN 6,210,848 4/3/01 NAGAI, et al.  FOREIGN PATENT DOCUMENTS   DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO  AAAO AAAP AAAA AAAA AAAA AAAA AAAA AA		AAAG	5,339,138	8/16/94	MISHIMA, et al.				
AAAU 4,942,104 7/17/90 KITAJIMA, et al.		AAAH	5,496,671	3/5/96	TAMURA, et al.				
AAAX		AAAI	5,411,8276	5/2/95	TAMURA, et al.				
AAAL   6,187,494   2/13/01   KAWAMURA, et al.		AAAJ	4,942,104	7/17/90	KITAJIMA, et al.				
AAAM   6,187,492   2/13/01   RI, et al.		AAAK	4,740,441	4/26/88	OTOMURA				
AAAN   6,210,848		AAAL	6,187,494	2/13/01	KAWAMURA, et al.				
FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO  AAAO AAAP AAAQ AAAQ AAAR AAAR AAAT AAAAI AAAAI AAAAV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AAAW AAAAX AAAXAX AAAAXAX AAAAXAXAXAXAXAXAXAXAXAXAXAXAXAXAXAXAXAXA		AAAM	6,187,492	2/13/01	RI, et al.				
DOCUMENT NUMBER  DATE  COUNTRY  TRANSLATION YES NO  AAAO  AAAP  AAAQ  AAAR  AAAR  AAAS  AAAT  AAAU  AAAV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AAAX  AAAX  AAAX  AAAA  AAAA  AAAA  AAAA  AAAA  AAAA  AAAA		AAAN	6,210,848	4/3/01	NAGAI, et al.				
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NUMBER  NUMBER  NO  AAAO  AAAO  AAAP  AAAQ  AAAR  AAAR  AAAT  AAAU  AAAV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AAAW  AAAX  AAAX  AAAX  AAAX  AAAX  AAAX  AAAAY  AAAA  AAAA  AAAA  AAAA  AAAA  AAAA  AAAA	DOCUMENT TRANSLATION							I ATION	
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AAAT  AAAU  AAAV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AAAW  AAAX  AAAX  AAAY  AAAY  AAAY  AAAY  CExaminer  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAR							
AAAV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AAAW  AAAX  AAAY  AAAZ  AAAZ  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAS							
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AAAW  AAAX  AAAY  AAAZ  AAAZ  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAT					-		
AAAW  AAAY  AAAZ  AAAZ  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAU							
AAAX  AAAY  AAAZ		AAAV							
AAAX  AAAY  AAAZ  AAAZ  AAAZ  AAAZ  AAAZ  AAAZ  Additional References sheet(s) attached  Examiner  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in			OTHER RE	FERENCES (	Including Author, Title, Date, Pertinent	Pages, e	tc.)		
AAAY  AAAZ  AAAZ  Additional References sheet(s) attached  Examiner  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAW							
AAAZ  Additional References sheet(s) attached  Examiner  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAX							
Examiner  Dat Considered  *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAY							
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AAAZ			Additional References sheet(s) attached				
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	Examiner					Dat Cor	nsidered		
contentioned and the contractors increase copy of the form that next continuing about to applicant.	*Examiner: Ir conformance	nitial if re	eference is considered, at considered. Include co	whether or not	t citation is in conformance with MPEP 60 with next communication to applicant.	9; Draw li	ne through	citation	if not in

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		SERIAL NO.			
			240715US0DIV	New Application				
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Nozomu TAMOTO, et al.				
				FILING DATE		GROUP		
				Herewith				
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AAB	6,326,112	12/4/01	TAMURA, et al.				
	ABB	6,444,387	9/3/02	RI, et al.				
	ACB	6,132,911	10/17/00	NIIMI				
	ADB	6,218,533	4/17/01	NIIMI				
	AEB	5,547,790	8/20/96	UMEDA, et al.				
	AFB	5,677,094	10/14/97	UMEDA, et al.				
	AGB	5,804,343	9/8/98	UMEDA, et al.				
	AHB	5,871,876	2/16/99	IKUNO, et al.				
	AIB	6,087,055	7/11/00	NIMI				
	AJB	5,853,935	12/29/98	SUZUKI, et al.			-	
	AKB	6,118,964	9/00	KOJIMA, et al.				
	ALB	5,948,579	9/99	MASHIMO, et al.				
	АМВ	4,663,259	5/87	FUJIMURA, et al.				
	ANB	4,766,048	8/88	HISAMUA, Masafumi				
	AOB	5,008,172	4/91	ROKUTANZONO, et al.				
	APB	6,300,027	10/01	CHAMBERS, et al.				
	AOB	6,492,081	12/02	MORIKAWA, et al.				
	ARB	2002/0048711	4/02	ITO, et al.				
	ASB	5,679,488	10/97	ITAMI, et al.				
	АТВ	5,932,381	8/99	AKAO, et al.				
	AUB	2002/0011177	1/02	YAMAMORI, et al.				
	AVB	6,077,635	6/00	OKADO, et al.				
	AWB	6,243,552	6/01	MURATA, Jun			-	
Ų.	AXB	5,008,706	4/91	OHMORI, et al.				
	AYB	5,363,176	11/94	ISHIHARA, et al.				
		· · · · · · · · · · · · · · · · · · ·	L		Pages e	tc )		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AY							
	AZ				Additional References sheet(s) attached			
Examiner					Date Considered			
				t citation is in conformance with MPEP 60	9; Draw li	ne through	citation if not in	

240715US0DIV Docket No.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Nozomu TAMOTO, et al.

GAU: SERIAL NO: New Application

**EXAMINER:** FILED: Herewith

ELECTROPHOTOGRAPHIC PHOTORECEPTOR, AND IMAGE FORMING FOR:

METHOD AND APPARATUS USING THE PHOTORECEPTOR

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached European Search Report and cited in prior application serial number 09/985,347 and listed on form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Tel. (703) 413-3000 Fax. (703) 413-2220

22850

(OSMMN 05/03)

Thomas W. Barnes III, Ph.D.

Registration No. 52,595

Docket No.

240715US0DIV

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Nozomu TAMOTO, et al.

SERIAL NO: New Application

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

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